We wish to participate in the following GIZ procurement procedure:

**Transaction number:** 83410592

**Project processing number:** 20.2275.4.002.00

**Project title:** Enabling the implementation of Georgia`s Forest Sector Reform - ECO.Georgia

**Works/services tendered:** Creating consumer awareness and providing technical advisory services for fuelwood users

**Country:** Georgia

*Please submit only the information requested and do not enclose any additional annexes.*

*If you are submitting the self-declaration as part of a candidate/bidding consortium, you must provide all the required information for each member of the consortium in one document. In such cases, please complete the candidate/bidding consortium declaration provided in the procurement documents and submit it with the self-declaration.*

*The following Part A may be duplicated accordingly in this document for each member of a bidding consortium. Parts B, C and D of this declaration shall be submitted only once in this document for all members combined.*

We hereby declare:

1. General information

I. Commercial eligibility

1. General statement of the company

Name and address of the company:

Beneficial owner(s) *(persons who hold more than 25 percent of the company’s capital stock or voting rights or equivalent power of control)*:

**Bank account**

Account holder:

IBAN:

BIC:

**Additional information**

Tax number:

VAT identification number:

Commercial register number:

District court:

*Submission of extracts from the commercial register is required. If you are participating in the procedure as part of a candidate/bidding consortium, submission of these extracts for all companies involved in the consortium is required. The date of issue of the extract from the commercial register must be no older than six months.*

**2.** **Brief company profile (max. 20 lines)**

**3.** **Contact in event of queries**

Name:

Email:

Tel. no.:

**4.** **Table 1: Key financial data**

|  | **Last financial year** | **Second last financial year** | **Third last financial year** |
| --- | --- | --- | --- |
| **Total annual turnover in EUR**  (information on the fourth last financial year can be provided for tenders published by GIZ within six months of the end of the company’s last financial year) |  |  |  |
| **Average number of employees and managers in the last three calendar years** |  | | |
| **Small or Medium Enterprise (SME, according to the definition of EU-commission)** | YES  NO | | |

**5. Grounds for exclusion**

**Do any of the grounds for exclusion specified in section 123 of the German Act against Restraints on Competition (GWB) apply in your case?**

YES  NO

If yes, please provide details:

If yes, have self-cleaning measures as specified in section 125 of the German Act against Restraints on Competition (GWB) been taken by the company?

YES  NO

If yes, please describe the measure taken:

**Do any of the grounds for exclusion specified in section 124 (1) of the German Act against Restraints on Competition (GWB) apply in your case?**

YES  NO

If yes, please provide details:

If yes, have self-cleaning measures as specified in section 125 of the German Act against Restraints on Competition (GWB) been taken by the company?

YES  NO

If yes, please describe the measure taken:

**In the last three years, has a custodial sentence of more than three years or a fine of more than 90 daily rates or a fine of more than two thousand five hundred euros, which resulted in an entry in the Central Trade and Industry Register, been imposed on you for noncompliance as specified in section 124 (2) of the German Act against Restraints on Competition (GWB)?**

YES  NO

If yes, have self-cleaning measures been taken by the company?

YES  NO

If yes, please describe the measure taken:

GIZ will assess the measure taken by the company and decide on a case‑by‑case basis whether exclusion is warranted.

We also undertake to inform GIZ without delay if one of the grounds for exclusion pursuant to Sections 123 and 124 (1) of the German Act against Restraints on Competition (GWB), which resulted in an entry in the Central Trade and Industry Register, arises during the procurement procedure or if a custodial sentence of more than three months or a fine of more than 90 daily rates or a fine of more than two thousand five hundred euros is imposed on us during the procurement procedure for non-compliance as specified in section 124 (2) of the German Act against Restraints on Competition (GWB).

**6. EU-Russia sanctions**

**We hereby submit the following binding declaration (if applicable, also on behalf of the persons represented in the request to participate/offer):**

1.) The **candidate(s) / tenderer(s)** does/do not

**qualify as (a) person(s), entity(ies) or body(ies)with a connection to Russia** referred to in **Article** **5 k)** (1) of Council Regulation (EU) No. 833/2014, as amended by Article 1 (23) of Council Regulation (EU) 2022/576 of 8 April 2022 concerning restrictive measures in view of Russia’s actions destabilising the situation in Ukraine,

1. **by the Russian nationality of the candidate/tenderer or the establishment of the candidate/tenderer in Russia,**
2. **by a natural person, entity or body to which one of the criteria referred to in letter (a) applies holding a stake in the candidate/tenderer by owning proprietary rights of more than 50%,**
3. **by the candidate/tenderer acting on behalf or at the direction of persons, entities or bodies to which the criteria referred to in letters (a) and/or (b) apply.**

2.) Companies involved in the contract as **subcontractors, suppliers or companies whose capacities are used in connection with the provision of proof of eligibility** which account for more than 10% of the contract value also do not belong to the group of persons with a connection to Russia within the meaning of the provision.

3.) We confirm and will ensure, including but not limited to the term of the contract, that no companies involved as **subcontractors, suppliers or companies whose capacities are used in connection with the provision of the proof of suitability** are used which account for more than 10% of the contract value.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, (date) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signatures/name in text form**

**Article 5k of Regulation (EU) No 833/2014, as amended by Article 1 (23) of Council Regulation (EU) 2022/576 of 8 April 2022, reads as follows:**

*(1) It shall be prohibited to award or continue the execution of any public or concession contract falling within the scope of the public procurement Directives, as well as Article 10, paragraphs 1, 3, 6(a) to 6(e), 8, 9 and 10, Articles 11, 12, 13 and 14 of Directive 2014/23/EU, Article 7 and 8, Article 10 (b) to (f) and (h) to (j) of Directive 2014/24/EU, Article 18, Article 21 (b) to (e) and (g) to (i), Articles 29 and 30 of Directive 2014/25/EU and Article 13 (a) to (d), (f) to (h) and (j) of Directive 2009/81/EC, to or with:*

*a) a Russian national, or a natural or legal person, entity or body established in Russia;*

*b) a legal person, entity or body whose proprietary rights are directly or indirectly owned for more than 50% by an entity referred to in point (a) of this paragraph; or*

*c) a natural or legal person, entity or body acting on behalf or at the direction of an entity referred to in point (a) or (b) of this paragraph,*

*including, where they account for more than 10% of the contract value, subcontractors, suppliers or entities whose capacities are being relied on within the meaning of the public procurement Directives.*

*(2) By way of derogation from paragraph 1, the competent authorities may authorise the award and continued execution of contracts intended for:*

*a) the operation, maintenance, decommissioning and radioactive waste management, fuel supply and retreatment and safety of civil nuclear capabilities, and the continuation of design, construction and commissioning required for the completion of civil nuclear facilities, as well as the supply of precursor material for the production of medical radioisotopes and similar medical applications, critical technology for environmental radiation monitoring, as well as civil nuclear cooperation, in particular in the field of research and development;*

*b) intergovernmental cooperation in space programmes;*

*c) the provision of strictly necessary goods or services which can only be provided, or which can only be provided in sufficient quantities, by the persons referred to in paragraph 1;*

*d) the functioning of diplomatic and consular representations of the Union and of the Member States in Russia, including delegations, embassies and missions, or international organisations in Russia enjoying immunities in accordance with international law;*

*e) the purchase, import or transport of natural gas and oil, including refined petroleum products, as well as titanium, aluminium, copper, nickel, palladium and iron ore from or through Russia into the Union; or*

*f) the purchase, import or transport into the Union of coal and other solid fossil fuels, as listed in Annex XXII until 10 August 2022.*

*(3) The Member State concerned shall inform the other Member States and the Commission of any authorisations granted under this Article within two weeks of the authorisation.*

*(4) The prohibitions in paragraph 1 shall not apply to the execution until 10 October 2022 of contracts concluded before 9 April 2022.*

1. Declaration of integrity

§ 1 Declarations by GIZ

As a federal enterprise, GIZ supports the German Government in achieving its objectives in the field of international cooperation for sustainable development. It is also engaged in promoting international education work around the globe. GIZ is guided by the concept of sustainable development and takes account of political, economic, social and environmental factors. Integrity, participation, transparency and accountability are essential to the company as cornerstones of efficient prevention of corruption.

GIZ understands integrity as a living and constantly developing process. It covers more than just anti-corruption and stands for the embodiment of standards, values and guidelines, for example with regard to environmental protection and the protection of human rights. The GIZ Code of Conduct sets out clear rules of behaviour for GIZ staff. Our actions are guided by the principles of equal treatment, compliance with contract and statute, transparency, loyalty, confidentiality and cooperation in partnership. Observance of the rules is monitored by the Compliance Committee, the integrity advisors and the external ombudsperson.

If GIZ learns of conduct on the part of its staff or a tenderer, candidate, contractor or subcontractor that constitutes a criminal offence in Germany and/or in other countries, or has grounds for suspicion in this regard, it will initiate internal investigations and in the event of reasonable suspicion call in the public prosecutor’s office.

Business associates, project partners, target groups and interested members of the general public are called upon to participate in clarifying any corruption-related circumstances. Should they have reasonable grounds to suspect any infringement of the Code of Conduct, they can contact GIZ’s integrity advisors or ombudsperson. Both are committed to secrecy and can also be contacted at a preliminary stage in the event of any uncertainties.

Integrity advisors,  
*Ms Carola Faller (GIZ Eschborn), tel:* +49 6196 79 3529 *and  
Mr Hans-Joachim Gante (GIZ Bonn)*, tel: +49 228 4460 1557  
email: [integrity-mailbox@giz.de](mailto:%20integrity-mailbox@giz.de)

GIZ’s external ombudsperson  
*Ombudsman Dr Edgar Joussen*, *tel: +49 30 315 18 7 0*  
email: [ombudsmann@ra-js.de](mailto:ombudsmann@ra-js.de)  
[www.giz.de/ombudsman](http://www.giz.de/ombudsman)

GIZ is also committed to the provisions of Germany’s Public Corporate Governance Code and follows its recommendations concerning transparency. GIZ publishes an annual corporate governance report on the internet, disclosing for instance the remuneration of the members of its Management Board. With regard to procurement operations, GIZ as a public sector commissioning party complies strictly with the rules for the award of contracts, with priority given to public invitations to tender and fundamental separation of planning, contract award and accounting procedures.

Furthermore, GIZ is subject to regular internal and external financial control. As a federal enterprise, GIZ is audited by the Bundesrechnungshof (German supreme audit authority).

§ 2 Declarations by the contractor

The contractor declares that it is familiar with and undertakes to observe GIZ’s values and integrity system as described above. The contractor is in particular obliged to comply with the principles of integrity set out in the General Terms and Conditions of Contract (‘local terms and conditions’) for Supplying Services and Work (sections ,1.5 and 1.11 of the Terms and Conditions) right from the preparatory phase of contract negotiations.

If the contractor is a legal person, it shall take organisational measures to transmit GIZ’s integrity principles to its staff and subcontractors pursuant to section 1.4 of the Terms and Conditions, and to support and monitor compliance. The contractor shall inform its staff and subcontractors that GIZ has appointed an external ombudsperson, the lawyer Dr Edgar Joussen, to whom any suspicions can be reported in confidentiality, ensuring the absolute anonymity of the informant, in particular where corruption is suspected.

The contractor declares that in performing the contract it will not award subcontracts to contractors of doubtful reliability.

In ongoing competitive tendering procedures, the contractor shall not enter into contact with any persons involved in the procedure outside the GIZ Contract Management sections. The contractor shall request any information required concerning the ongoing procurement procedure in writing only from GIZ’s Procurement and Contracting Division, which is also responsible for coordinating responses to technical questions. The contractor is aware that failure to comply with this can lead to exclusion from the competitive tender procedure.

1. Prevailing validity of GIZ’s regulations

We undertake to recognise that all provisions applied by GIZ in relation to the procurement documents used in the procurement procedure shall prevail and hereby declare that, other than the information we submit in our tender, no other content – from previous contracts or other sources for instance, above all our own general terms and conditions of business – shall be deemed to constitute an integral component of our tender.

1. Technical information

Technical eligibility (see Section A. II. of the assessment grid for the eligibility of tenderers)

*Evidence of technical eligibility is to be provided on the basis of the criteria specified in the assessment grid [see the grid for assessing the suitability of candidates in all procedures, A. II. Assessment of technical suitability (Minimum criteria)].*

*If reference projects of a subcontractor are provided, a declaration by the subcontractor must be submitted with the self-declaration. No particular format is required for this declaration.*

*More than the required number of reference projects may be provided, the number of ten reference projects should not be exceeded. If you are participating in the procedure as part of a candidate/bidding consortium, please note that the number of reference projects for all members of the consortium combined should also not exceed ten.*

*Only projects in the required technical field and region that have the required minimum commission value and have started and/or finished in the last three years will be assessed. If a reference project fulfils both the criteria for the technical field and for the region/country, it will be used to assess both criteria.*

*Enter the reference projects in table 2 below.*

*Tenderers that fail to meet the specified criteria will be classed as technically ineligible and excluded from the remaining tender process.*

**Table 2: Overview of reference projects**

| **No.** | **Project title** | **Client** | **Period** | **Commission value in EUR** | **Technical field** | **Country and region** | **At least 50% ODA\* financed (yes/no)** | **Project description (brief description of each measure, approx. 5-10 sentences)** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1 |  |  |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |
| 6 |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |
| 8 |  |  |  |  |  |  |  |  |
| 9 |  |  |  |  |  |  |  |  |
| 10 |  |  |  |  |  |  |  |  |

\*official development assistance

**We hereby confirm that the details provided above are complete and true and request to participate in this procurement procedure.**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, (date) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signatures/name in text form**